

**UNITED STATES DISTRICT COURT
DISTRICT OF OREGON**

CONFIDENTIAL JUROR QUESTIONNAIRE

TO THE PROSPECTIVE JUROR:

You have been summoned by this Court for jury service in a federal criminal case titled *United States v. Robert J. Jesenik, Andrew N. MacRitchie, and Brian K. Rice*. The Court instructs you not to discuss this case or your answers to this questionnaire with anyone, including your family or fellow jurors. It is important to the fairness of this process that you do not engage in any research, such as searching for stories on the internet or social media about this case or anything to do with it. You must not read or listen to any media accounts, whether in a newspaper, on the internet, on television, or on the radio. Do not discuss or post anything about this case on any electronic or social media, including Facebook, YouTube, Twitter, Reddit, Instagram, LinkedIn, Snapchat, TikTok, email, text message, any blog, website or chat forum, or any other media. You must not let anyone, including family, friends, news media, parties, or witnesses, speak with you about your views or any aspect of this case, including your answers to this questionnaire, unless and until the Court has instructed you to do so.

In this case, Robert J. Jesenik, Andrew N. MacRitchie, and Brian K. Rice have been charged with conspiracy to commit mail and wire fraud, conspiracy to commit money laundering, and wire fraud. The indictment alleges that these defendants, acting through the Aequitas group of companies, conspired to mislead investors and others. The charges are only allegations; they are not evidence. All three defendants have pleaded not guilty to all charges, and they are presumed innocent. It will be the responsibility of the government, through a jury trial, to prove these charges beyond a reasonable doubt.

As part of the jury selection process, you must complete this juror questionnaire. Your answers to the questions on this form will assist the Court and the attorneys in the jury selection process for this trial. This questionnaire is designed to obtain information about your background as it relates to your service as an unbiased, fair, and impartial juror in this case. Unless a question states otherwise, the fact that a particular question is asked does not imply that the subject matter of the question will be an issue in this case. As you read the questions, you are not to draw any inferences about the issues that must be decided by the jury in this matter. The questions are not meant to invade your privacy but only to help select a fair and impartial jury for this trial.

If there is any reason why you might not be able to give all parties (the government and all three defendants) a fair trial in this case, it is important that you say so now. Please answer each question as fully as you can. Your complete honesty is essential to the fairness of this process. Do not leave any question blank. If a question does not apply to you, write “N/A” (“not applicable”), rather than leaving the answer blank. If you do not understand a question, please write that in the space for the answer. If you feel that an answer would be too personal, please say so in the space provided. You will have the opportunity to discuss your answers privately. If you need more space for any response to a question, please use the extra sheet attached at the end of the questionnaire. If you use the extra sheet, please clearly indicate which numbered question (or questions) you are answering.

The purpose of this questionnaire is to determine whether, if you are selected as a juror in this case, you could fairly and impartially decide this matter based solely on the evidence that will be presented at trial and the instructions about the law that will be given to the jury by the Court. The Court and the lawyers understand that every person has beliefs and biases concerning many things. You should answer with your true feelings, whatever they may be. Do not assume that any of your answers will qualify you or disqualify you from serving on this jury.

Your answers to this questionnaire are confidential, and the Court is sensitive to your privacy. Your responses will be reviewed by the Judge and the lawyers in this case, and your privacy will be maintained. After a jury has been selected, the original questionnaire will be returned to the Clerk of the Court and kept under seal, and it will only be disclosed, if at all, with names and other identifying information removed.

Upon completion, please sign your name on the last page where indicated. You are expected to sign your questionnaire, and your answers will be given the same effect as a statement given to the Court under oath. Thank you for your cooperation and assistance in this important matter.

Michael H. Simon
United States District Judge
District of Oregon

Name: _____
Last *First* *Middle Initial*

Participant No. (highlighted in yellow on the front of the Summons): _____

1. Gender: _____
2. Age: _____
3. Marital status: _____
4. In what county in Oregon do you currently live? _____
5. In what city, state, and country were you:
 - (a) born: _____
 - (b) raised: _____
6. What is the highest level of education that you completed? _____
7. Are you currently employed? Yes No

If yes, what is the nature of your employment, including job title and general duties? If you are not currently employed, please describe the nature of your last employment, including job title and general duties. Also, please indicate if you are retired, a full-time homemaker, unable to work due to a disability, or a student:
8. Please briefly describe the types of jobs you have held in the past ten years:
9. Please describe your spouse's or partner's occupation:
10. What is your spouse's or partner's highest level of education?
11. Have you ever served in any branch of the Armed Forces of the United States, including in the National Guard, Reserves, or ROTC? Yes No
12. Do you have any children? Yes No

If yes, please list the occupation of each of your adult children:

13. It is difficult to predict the length of any trial, but this trial may take between five and seven weeks to complete. Trial generally is held on Monday through Friday, from 9:00 a.m. to 5:00 p.m., with mid-morning, mid-afternoon, and lunchtime breaks.

Unless waived, jurors receive a daily attendance fee of \$50 per day for the first ten days of service, followed by \$60 per day thereafter. The Court may also reimburse mileage at \$0.655 per mile and \$18 per day for parking (upon certification of parking expenses). If you live 75 or more miles from the courthouse you may be considered a long-distance juror and information on lodging and food allowances has been included with your jury summons.

Do you have any extraordinary personal, employment, or family obligations that would impose a serious burden or hardship on you such that it would be extremely difficult or impossible for you to serve as a juror in this case? Yes No

If “Yes,” please explain:

14. Do you have any physical or mental health issues that would make it difficult for you to serve as a juror in this case? Also, do you have any difficulty seeing, reading English, understanding spoken English, or concentrating? Yes No

If “Yes,” please explain:

15. Is there anything else going on in your life or work that would prevent you from giving this case your full attention for the duration of the trial? Yes No

If “Yes,” please explain:

16. Will your employer continue to pay your salary if you are selected to serve on this jury?
 Yes No Not sure I do not have an employer

17. If you are selected as a juror in this case, you will be required to sit indoors, often close to other jurors, for several weeks. Based on the COVID-19 pandemic, do you have any concerns about jury service at this time? Please explain:

18. If you were asked to wear a mask for some portions of the trial, how would you feel?

19. Have you been vaccinated against COVID-19? Yes No

If “No,” are you willing to explain why not? If you are willing to explain, please do so below:

20. Have you, any family members, or anyone else close to you ever worked for a bank, financial institution, an investment advisor, or an investment company?
 Yes No

21. Have you, any family members, or anyone else close to you ever worked with a financial planner or investment advisor? Yes No

If “Yes,” how would you describe your experiences:

22. Do you have an investment or retirement account at any financial institution?

Yes No

23. Have your experiences with investing generally been:

Positive Negative Mixed I have no investing experience

Please explain:

24. Have you, or anyone close to you, ever had any education, training, or job-related experience in any of the following fields:

Area		You	Anyone Close to You
a.	Law, courts, criminal justice, or the legal system?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
b.	Investments, hedge funds, alternative investments?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
c.	Securities?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
d.	Government regulations?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
e.	Accounting or auditing?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
f.	Corporate governance, compliance, or management?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you answered “Yes” to any part of the above, please explain:

25. Have you, any family members, or anyone else close to you ever:

Experience		You	Anyone Close to You
a.	Owned a business?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
b.	Been responsible for running a business or organization (either professionally or as a volunteer)?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
c.	Been an executive in a company?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
d.	Served on a board of directors?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
e.	Served in a management position overseeing others?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
f.	Hired legal or accounting professionals?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you answered "Yes" to any of the above, please explain:

26. Have you or anyone else close to you lost significant money in connection with a failed loan, business, or other investment to the best of your knowledge? Yes No

If "Yes," please explain (including who suffered the loss, approximately when and how the loss occurred, and the outcome of any civil lawsuit or criminal prosecution, if applicable):

27. Do you understand the following financial terms:

- | | | | |
|----------------|------------------------------|-----------------------------|-----------------------------------|
| a. Loan: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |
| b. Principal: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |
| c. Interest: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |
| d. Asset: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |
| e. Collateral: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |
| f. Debt: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |
| g. Cash flow: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Not Sure |

28. Have you ever served on a jury before? Yes No

If "Yes," did you serve on: a criminal case?
 a civil case?
 a grand jury?

Have you ever served as the Presiding Juror (Foreperson) of a Jury? Yes No

Did your jury (or juries) reach a verdict? Yes No

If you recall, what issues, claims, or charges were presented in any trial or trials in which you previously served as a juror?

If applicable, how would you describe your experience as a juror?

29. Have you, any family members, or anyone else close to you ever been the victim of a serious crime to the best of your knowledge? Yes No

If “Yes,” please explain (including the person’s relationship to you, approximately when and where the crime occurred, and the outcome of any prosecution):

Please describe what, if anything, about your experience with the legal process in this context you would like to see changed or wish had been done differently?

30. Have you, any family members, or anyone else close to you ever been charged with a crime? (Do not include traffic tickets or citations.) Yes No

If “Yes,” please describe the charges brought against you (or the other person), when and where the charges were brought, whether you (or the other person) were convicted, and any sentence imposed:

Please describe what, if anything, about your experience with the legal process in this context you would like to see changed or wish had been done differently?

31. How closely do you follow the general news?

Very closely Somewhat closely Not very closely Not at all

32. Where do you typically get your general news? Please list specific sources:

33. Do you read publications that focus on business news, watch business shows on television, or listen to them on the radio or the internet? Yes No

If “Yes,” please describe:

Business publications or news sections: _____

Business television shows: _____

Business radio shows: _____

Internet business sites: _____

34. Which TV programs do you watch most often?

35. Do you watch any TV programs about law or crime (e.g., *American Greed* or *Law & Order*)? If yes, which ones?

36. Do you listen to any true-crime podcasts (e.g., *Serial*)? If yes, which ones?

37. Please name a famous person whom you admire and explain why? (Please name an individual whom others would be likely to know.)

38. This case involves events leading to the 2016 collapse of a financial company headquartered in Lake Oswego known as “Aequitas.” Have you seen, read, or heard anything about Aequitas or its executives or former executives? (Do not do any research or investigation.) Yes No

If yes, please describe what you have seen, read, or heard:

39. How would you describe the amount of news coverage that you have seen, read, or heard about Aequitas or its former executives? (Check all that apply.) (Do not do any research or investigation.) A lot A moderate amount A little None

40. Were you or anyone else close to you positively or negatively affected by any action taken by or relating to Aequitas to the best of your knowledge? (Do not do any research or investigation.) Yes No

If yes, please explain:

41. Do you have any other opinions, experiences, or information related to any of the topics covered in this questionnaire that the Court should know about to ensure a fair trial or that might be relevant to your possible service as a juror? Yes No

If "Yes," please explain:

42. In a criminal case, a defendant must be presumed innocent unless and until the government proves that he or she is guilty. Do you have any problems or issues with that standard? Yes No

Please explain:

43. In a criminal case, the government must prove that a defendant is guilty beyond a reasonable doubt before he or she may be found guilty. Do you have any problems or issues with that standard? Yes No

Please explain:

44. Every defendant has the constitutional right to not testify in his or her own defense. If a defendant does not testify, the jury may not consider that fact in any way in reaching a decision as to whether the defendant is guilty or not guilty. Do you have any problems or issues with this statement? Yes No

Please explain:

45. Do you know any of the following people who also may testify as a witness in this case?
(Check all that apply. Do not do any research or investigation on the internet or elsewhere.)

- | | | | | |
|--------------------------|-----|--------------------------|----|--------------------------------|
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Abushaaban, Nauser |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Agostine, Michelle |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Ambers, Jason |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Baldwin, Robert |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Bean, Chris |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Beaton, Neal |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Bernstein, Eric |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Bowman, Blake |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Brown, Jim |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Callcott, Hardy |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Cataudella, Jessica |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Chong, Michael |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Craig, Andy |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Davis, Eric |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Dehaan, Vanessa Linn |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Ellis, Steve |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Fearn, Kathie |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Fisher, Wendy |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Forman, Bruce |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Foster, Charles Bradley (Brad) |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Froude, Craig |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Gillis, Scott |
| <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | Greenspan, Ronald |

- Yes No Greenwood-Wing, Brette
- Yes No Hartley, Megan
- Yes No Hatfield, Pam (aka Pam Hatfield Comery)
- Yes No Holmdahl, Tom
- Yes No Holmen, Bob
- Yes No Hulquist, Michelle
- Yes No Iverson, Don
- Yes No Jackson, David
- Yes No Janke, Olaf
- Yes No Jesenik, Robert
- Yes No Julsgard, Carin
- Yes No Layne, Anne
- Yes No Lindquist, Timothy
- Yes No Lordi, Alan
- Yes No Mavroleon, Nicholas
- Yes No MacRitchie, Andrew
- Yes No Malloy, William
- Yes No Martinson, Pamela
- Yes No Mazer, Jeff
- Yes No Meyer, Floriane
- Yes No Morgan, Jessica
- Yes No Morones, Serena
- Yes No Nelson, Todd
- Yes No Nicholson, David

- Yes No Norris, Ed
- Yes No Nowak, Andrew
- Yes No Oliver, Brian
- Yes No Papenfuss, Michelle
- Yes No Pyne, Justin
- Yes No Rahnama, Mani
- Yes No Rahnama, Nazanin
- Yes No Rice, Brian
- Yes No Rollins, Jared
- Yes No Ronassi, Fariba
- Yes No Ruttum, Kurt
- Yes No Schock, Peter
- Yes No Schuler, David
- Yes No Scoufis, Alexander
- Yes No Sica, Jeff
- Yes No Slack, Roshon
- Yes No Slominski, John
- Yes No Standish, Myles
- Yes No Steffner, Ryan
- Yes No Stern, Eve
- Yes No Szabo, Tom
- Yes No Szeto, Eddie
- Yes No Texeria, Ed
- Yes No Trowbridge, Brett

- Yes No Vashee, Vijaykumar
- Yes No Viola, Fabrizio
- Yes No Vukovich, Surena
- Yes No Wittington, Patty
- Yes No Wurster, Woody
- Yes No Zamarripa, Bob

If “Yes,” please explain the nature of your relationship with each person or how you know that person:

46. Do you know any of the following attorneys? (Check all that apply. Do not do any research or investigation on the internet or elsewhere.)

- Yes No Bounds, Ryan
- Yes No Calfo, Angelo
- Yes No Cardani, Christopher
- Yes No Dadhich, Siddharth
- Yes No Eakes, Patricia
- Yes No Elder, Damon
- Yes No Estevao, Anna
- Yes No Greenfield, Troy
- Yes No Horsley, Hannah
- Yes No Huseby, Conor
- Yes No Kauffman, Samuel
- Yes No McVicar, Megan
- Yes No Mullins, Scott

- Yes No Olson, Per
- Yes No Phillips, Henry
- Yes No Terwilliger, Molly
- Yes No Tremonte, Michael
- Yes No Wight, Natalie
- Yes No Ziegler, Bakari

If “Yes,” please explain the nature of your relationship with each person or how you know that person:

47. Do you know anyone who works or has worked at any of the following businesses, firms, or other entities? (Check all that apply. Do not do any research or investigation on the internet or elsewhere.)

- Yes No United States Attorney’s Office
- Yes No U.S. Department of Justice
- Yes No U.S. Securities and Exchange Commission (SEC)
- Yes No Financial Industry Regulatory Authority (FINRA)
- Yes No FTI Consulting
- Yes No Sher Tremonte LLP
- Yes No Kauffman Kilberg LLC
- Yes No Morgan Lewis
- Yes No Schwabe Williamson & Wyatt PC
- Yes No Troutman Pepper Hamilton Sanders LLP
- Yes No Snell & Wilmer LLP
- Yes No Angeli Law Group
- Yes No Boise Matthews LLP

If “Yes,” please explain the nature of your relationship with each person or how you know that person:

48. As a juror, it is important to the fairness of this process that you do not obtain information about this case outside of the courtroom. The Court will instruct the jury that the jury may not read, watch, or listen to any news or media accounts or commentary about the case or anything to do with it; may not do any factual or legal research about anything related to the case, such as consulting dictionaries, searching the internet, or using other reference materials; and may not make any investigation or in any other way try to learn on their own about the case or the people involved, including the parties, the witnesses, or the lawyers. If a juror happens to read, see, hear, or learn about anything about this case, the juror must turn away and report that contact to the Court as soon as possible. If you are selected to be a juror in this case, will you be able to follow this instruction?

Yes No

If “No,” please explain:

49. U.S. District Judge Michael H. Simon is expected to preside over this trial. His Courtroom Deputy is Ms. Mary Austad. His Court Reporter is Mr. Dennis Apodaca. Do you know Judge Simon or any members of his staff? Yes No

If “Yes,” please explain:

50. Is there anything about the subject matter of this case, the parties, or any points mentioned in this questionnaire, or any matter not covered in this questionnaire, that creates a question in your mind as to whether you can be a fair, objective, and impartial juror in this case? Yes No

If “Yes,” please explain:

51. Is there anything else that you believe the Court or the attorneys might want to know about you when considering you as a juror in this case that has not already been covered?

Yes No

If "Yes," please explain:

52. Is there any matter that you would like to discuss privately with the Judge?

Yes No

If "Yes," please explain:

53. Did you have any difficulty reading or understanding this questionnaire?

Yes No

If "Yes," please explain:

54. Did anyone assist you in completing this questionnaire? Yes No

If "Yes," please explain:

JUROR OATH AND SIGNATURE

I hereby state under penalty of perjury that all answers given by me in this Juror Questionnaire are true, correct, and complete to the best of my knowledge.

Because I am a potential juror in this case, I agree not to do any research, investigation, or reading, on either the internet or anywhere else, that may relate to any of the issues or names mentioned in this questionnaire. I also agree not to discuss anything about this case, share any information about this case, or even listen to anyone about this case unless I am expressly instructed or permitted to do so by the Judge.

I understand that if I am called to the federal courthouse for jury selection in this case, I will be questioned under oath about my compliance with these instructions.

I also understand that I am expected to advise the Judge right away if I become aware of any violation of any of these instructions, whether deliberate or accidental.

Juror's signature
(If signing electronically, type /s/ before typing your name, i.e. /s/ Jane Doe)

Juror's printed name

Dated this _____ day of _____, 2023.

ADDITIONAL SHEET FOR FURTHER EXPLANATION

Name of Juror: _____

If you were unable sufficiently to answer any question in the spaces provided, please indicate the question number, and use this area to provide that additional information. Thank you very much for your cooperation.

Question No.	Additional Information